

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Robert J. Small  
Title: Chemical-Mechanical Planarization Using Ozone  
Serial No.: 09/783,069 Filed: February 13, 2001  
Examiner: Thao P. Le Group Art Unit: 2818  
Docket No.: CHEM.010US0 Conf. No.: 3260

September 30, 2003

Mail Stop RCE  
Commissioner for Patents  
Washington, D. C. 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the document listed on the accompanying form PTO-1449 is called to the attention of the Examiner for the above patent application. A copy of the document is enclosed.

Listing of this document shall not be construed as:

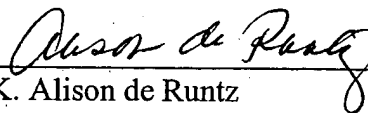
1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or

3. an admission that the information listed herein is, or is considered to be, material to patentability as defined in § 1.56(b).

EXPRESS MAIL  
LABEL NO.:

EV 321716474 US

Respectfully submitted,



K. Alison de Runtz  
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Sept. 30, 2013  
Date

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U.S. Department of Commerce, Patent and Trademark	Atty. Docket No.	Application No.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	CHEM.010US0	09/783,069
	Applicant(s)	Conf. No.
(Use several sheets if necessary)	Robert J. Small	3260
	Filing Date	Group
	February 13, 2001	2818

## U.S. Patent Documents

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

## U.S. Published Patent Application Documents

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

## Foreign Patent Documents

							Translation	
	Document	Date	Country	Class	Subclass	Yes	No	

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	Notification of Transmittal of the International Preliminary Examination Report and International Preliminary Examination Report mailed August 12, 2003.

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.